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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

IN RE OPTICAL DISK DRIVE PRODUCTS
ANTITRUST LITIGATION

No. 3:10-md-2143 RS

~~PROPOSED~~ ORDER GRANTING
INDIRECT PURCHASER PLAINTIFFS'
UNOPPOSED MOTION FOR
PRELIMINARY APPROVAL OF
CLASS ACTION SETTLEMENTS
WITH PLDS AND PIONEER
DEFENDANTS AND DISSEMINATION
OF CLASS NOTICE

DATE ACTION FILED: Oct. 27, 2009

This Document Relates to:
ALL INDIRECT PURCHASER ACTIONS

1 WHEREAS, Indirect Purchaser Plaintiffs (IPPs), on behalf of themselves and of the proposed
2 stipulated settlement class (Settlement Class), and defendants the Koninklijke Philips N.V., Lite-On
3 IT Corporation, Philips & Lite-On Digital Solutions Corporation, and Philips & Lite-On Digital
4 Solutions U.S.A., Inc. (collectively, PLDS), and defendants Pioneer Corporation, Pioneer North
5 America, Inc, Pioneer Electronics (USA) Inc., and Pioneer High Fidelity Taiwan Co., Ltd.
6 (collectively Pioneer) have agreed, subject to Court approval following notice to the Settlement Class
7 and a hearing, to settle the above-captioned matter (Lawsuit) upon the terms set forth in the IPP-
8 PLDS Settlement Agreement and IPP-Pioneer Settlement Agreement (Settlement Agreements);

9 WHEREAS, this Court has reviewed and considered the Settlement Agreements entered into
10 among the parties, together with all exhibits thereto, the record in this case, and the briefs and
11 arguments of counsel;

12 WHEREAS, IPPs have applied for an order granting preliminary approval of the Settlement
13 Agreements;

14 WHEREAS, this Court preliminarily finds, for purposes of settlement only, that the action
15 meets all the prerequisites of Rule 23 of the Federal Rules of Civil Procedure;

16 WHEREAS, all defined terms contained herein shall have the same meanings as set forth in
17 the Settlement Agreements;

18 NOW, THEREFORE, IT IS HEREBY ORDERED:

19 1. The Court does hereby preliminarily approve the Settlement Agreements and the
20 settlement set forth therein, subject to further consideration of a Fairness Hearing.

21 2. A Fairness Hearing shall be held before this Court at the United States District Court,
22 located at San Francisco Courthouse, Courtroom 3 - 17th Floor, 450 Golden Gate Avenue, San
23 Francisco, CA 94102, to determine whether to approve certification of the class for settlement
24 purposes; whether the proposed settlement of the Lawsuit on the terms and conditions provided for
25 in the Stipulation is fair, reasonable and adequate to the Settlement Classes and should be approved
26 by the Court; whether a final judgment should be entered herein; whether the proposed plan of
27 distribution should be approved; and to determine the amount of fees and expenses that should be
28 awarded to Class Counsel. The Court may adjourn the Fairness Hearing without further notice to the

1 members of the Settlement Classes. The Fairness Hearing shall be held 35 days from the IPPs
2 motion for final approval of the settlement unless otherwise ordered by the Court.

3 3. Pursuant to Rule 23 of the Federal Rules of Civil Procedure, the Court preliminarily
4 certifies, for purposes of effectuating this settlement, a Settlement Class as follows:

5 All persons and entities who, as residents of Arizona, California,
6 District of Columbia, Florida, Hawaii, Kansas, Maine, Massachusetts,
7 Michigan, Minnesota, Missouri, Montana, Nebraska, Nevada, New
8 Hampshire, New Mexico, New York, North Carolina, Oregon,
9 Tennessee, Utah, Vermont, West Virginia, and Wisconsin and during
10 the period April 2003 to December 2008, purchased new for their own
11 use and not for resale: (i) a computer with an internal ODD; (ii) a
stand-alone ODD designed for internal use in computers; or (iii) an
ODD designed to be attached externally to a computer. ODD refers to
a DVD-RW, DVD-ROM, or COMBO drive manufactured by one or
more Defendants or their coconspirators. Excluded from the class are
any purchases of Panasonic branded computers.

12 4. The Court designates Mike Bishop, Cindy Booze, Wanda Duryea, Matthew Ence,
13 Benjamin Faber, Barney Gooman, Jr., Matthew Hosking, James Ito-Adler, Chris Johnson, Susie Lim,
14 John McKee, Gail Murphy, Benjamin Murray, Angela Pritchard, Ben Porter, Mike Reilly, Sandra
15 Steffen, Thomas Stenger, Kristina Tecce, Brian Tindall, Anbessa Tufa, and Kimberly Wood as the
16 class representatives for the Settlement Class.

17 5. The Court designates the following as Class Counsel for the Settlement Class: Hagens
18 Berman Sobol Shapiro LLP.

19 6. The Court preliminarily finds that, for purposes of effectuating this settlement only,
20 the Settlement Class meets the Rule 23 requirements for a settlement class.

21 7. The Court approves as to form and content the proposed forms of notice, including
22 the full notice, summary notice and banner notice, attached as Exhibits 2-4, respectively, to the
23 Declaration of Alan Vasquez. The Court further finds that the proposed plan of notice and the
24 proposed contents of these notices, meet the requirements of Federal Rule of Civil Procedure 23 and
25 due process, and are the best notice practicable under the circumstances and shall constitute due and
26 sufficient notice to all persons entitled thereto.

27 8. The Court appoints Gilardi & Co. LLC and Sipree, Inc. (Settlement Administrators)
28 to supervise and administer the notice procedure as well as the processing of claims.

1 a. No later than 30 days from the signing of this order for preliminary approval,
2 the Settlement Administrators shall cause the full versions of the Settlement Agreements, the
3 Preliminary Approval Order, and the claim form, in both an electronic fillable form and in a format
4 that may be downloaded and/or printed, to be published on a public website, located at
5 www.OpticalDiskDriveAntitrust.com;

6 b. No later than 30 days from the signing of this order for preliminary approval,
7 the Settlement Administrators shall cause summary notice to be published in the designated
8 newspapers, substantially in the form annexed as Exhibit 2 to the Declaration of Alan Vasquez;

9 c. No later than 30 days from the signing of this order for preliminary approval,
10 the Settlement Administrators shall provide e-mail notice, substantially in the form annexed as
11 Exhibit 3 to the Declaration of Alan Vasquez, to all Settlement Class members whose e-mail
12 addresses can be identified with reasonable effort;

13 d. No later than 30 days from the signing of this order for preliminary approval,
14 the Settlement Administrators shall cause the banner notice to be published, substantially in the form
15 annexed as Exhibit 4 to the Declaration of Alan Vasquez;

16 9. All members of the Settlement Class shall be bound by all determinations and
17 judgments in the Lawsuit concerning the settlement, whether favorable or unfavorable to the
18 Settlement Class.

19 10. All members of the Settlement Class shall file claims no later than August 1, 2017 in
20 order to be eligible for compensation from this settlement.

21 11. Class Counsel shall file their motion for attorneys' fees, costs and service awards for
22 the class representatives, and all supporting documentation and papers, within 76 days of the signing
23 of this order for preliminary approval.

24 12. Any person who desires to request exclusion from the Settlement Class shall do so
25 within 90 days of the signing of this order for preliminary approval. All persons who submit valid
26 and timely requests for exclusion shall have no rights under the Settlement Agreements, shall not
27 share in the distribution of the settlement funds, and shall not be bound by the final judgments
28 relating to the PLDS and Pioneer defendants entered in the litigation.

1 13. Any member of the Settlement Class may enter an appearance in the litigation, at his
2 or her own expense, individually or through counsel of his or her own choice. If the member does not
3 enter an appearance, he or she will be represented by Class Counsel.

4 14. Any member of the Settlement Class may appear and show cause, if he or she has any
5 reason, why the proposed settlements should or should not be approved as fair, reasonable and
6 adequate; why a judgment should or should not be entered thereon; why the plan of distribution
7 should or should not be approved; or why attorneys' fees and expenses should or should not be
8 awarded to Class Counsel. All written objections and supporting papers must (a) clearly identify the
9 case name and number (*In Re Optical Disk Drive Products Antitrust Litigation*, Indirect Purchaser
10 Action, Case Number 3:10-md-2143-RS), (b) be submitted to the Court either by mailing them to the
11 Class Action Clerk, United States District Court for the Northern District of California, San
12 Francisco Courthouse, 450 Golden Gate Avenue, San Francisco, CA, and (c) be filed or postmarked
13 on or before 90 days from the signing of this order for preliminary approval.

14 15. All papers in support of the settlement and responses by Class Counsel regarding
15 objections and exclusions shall be filed and served 14 days after the deadline for exclusions and
16 objections.

17 16. All reasonable expenses incurred in identifying and notifying members of the
18 Settlement Classes, as well as administering the Settlement Fund, shall be paid for as set forth in the
19 Settlement Agreements.

20 17. Neither the Settlement Agreements, nor any of their terms or provisions, nor any of
21 the negotiations or proceedings connected with them, shall be construed as an admission or
22 concession by plaintiffs or defendants, respectively, of the truth or falsity of any of the allegations in
23 the Lawsuit, or of any liability, fault or wrongdoing of any kind.

24 18. All members of the Settlement Class are temporarily barred and enjoined from
25 instituting or continuing the prosecution of any action asserting the claims released in the proposed
26 settlement, until the Court enters final judgment with respect to the fairness, reasonableness, and
27 adequacy of the settlement.

19. As ordered above, these are the deadlines for the (1) notice campaign; (2) motion for attorneys' fees; (3) objections and requests for exclusion from the class; (4) motion for final approval; (5) fairness hearing; (6) close of claims period:

Event	Deadline
Notice campaign to begin, including website, email, publication and Internet notice	30 days from preliminary approval order
Last day for motion for attorneys' fees, costs, expenses, and service awards	76 days from preliminary approval order
Last day for objections and requests for exclusion from the class	90 days from preliminary approval order
Last day for motion in support of final approval of settlement	14 days after objection deadline
Fairness Hearing	35 days from motion for final approval, unless otherwise ordered by the Court.
Close of Claims Period	August 1, 2017

IT IS SO ORDERED.

DATED: April 17, 2017



HONORABLE RICHARD SEEBORG
UNITED STATES DISTRICT COURT JUDGE

Submitted by:

Dated: March 13, 2017

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By s/ Jeff D. Friedman
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Interim Lead Counsel for
Indirect Purchaser Plaintiffs